

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q92245

Kazuyuki OHMOTO, et al.

Appln. No.: 10/561,973

Group Art Unit: 1625

Confirmation No.: 1551

Examiner: Rita J DESAI

Filed: December 22, 2005

For: NOVEL TRICYCLIC HETEROCYCLE COMPOUND

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

- 1) US 6,350,757 corresponds to JP 2001-072679, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.
- 2) US 2004/0072833 corresponds to WO 2002/053565, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

3) US 3,959,470 corresponds to GB 1 444 980, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

4) US 2004/0132735 corresponds to WO 2002/081471, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

5) US 2004/0122035 corresponds to WO 2002/064590, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

6) US 2004/0116458 corresponds to WO 2002/064591, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

7) US 2002/0010189 corresponds to WO 2001/087038, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

8) US 6,043,252 corresponds to WO 9743287, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

9) US 2004/0147542 corresponds to WO 2002/088123, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

10) EP 0 740 668 corresponds to JP 09-508113, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

11) US 7,368,444 corresponds to WO 2003/068753, which was previously listed on a PTO/SB/08 A & B form filed with the present application on December 22, 2005.

12) US 2004/0152736 corresponds to WO 2003/99821.

13) US 5,403,851 corresponds to WO 1999/64420.

14) US 5,095,020 was issued March 10, 1992 to Hulkenberg et al.

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98  
U.S. Appl. No.: 10/561,973

Attorney Docket No.: Q92245

15) US 7,403,851 corresponds to WO 1995/26723.

The present Information Disclosure Statement is being filed (without a Statement Under 37 C.F.R. § 1.97(e)) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore the fee of \$180.00 under 37 C.F.R. § 1.17(p) is being remitted.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,

/Nyeemah A. Grazier/

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**65565**

CUSTOMER NUMBER

Date: August 19, 2009

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